



April 2014

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## Publicly Traded Securities & Mutual Funds

In 2006 the Federal government introduced a special tax incentive on gifts of Publicly Traded Securities and Mutual Funds. You can give a gift of publicly traded securities to Ovarian Cancer Canada and eliminate the capital gains tax. If you own stocks or mutual funds that have grown in value, you will face a tax bill when you sell them. By donating them directly to Ovarian Cancer Canada you can eliminate your tax bill and make a significant gift at the same time.

### Gifts of Publicly Traded Securities and Mutual Funds – The Benefits to You

- Opportunity – An opportunity to make a significant gift.
- Tax Advantages – By donating your appreciated securities or mutual funds directly to Ovarian Cancer Canada you eliminate your capital gains tax bill.
- Reduced Brokerage Fees – Many brokerage houses forego fees for charitable transactions.
- Simple and Convenient – Securities are easy to transfer. Your broker transfers the shares from your account to Ovarian Cancer Canada brokerage account. The date of your donation will be the date the securities are deposited into our brokerage account.
- Recognition – Your gift can be honoured during your lifetime.

### How does it work?

A donation receipt is issued for the fair market value of the security on the date of transfer. The securities must be transferred to Ovarian Cancer Canada and not be sold by you, the donor. The gift will not qualify for the capital gains tax elimination if the securities are sold and the cash then gifted to a charity.

## Guidelines for Transferring Assets

1. The easiest and safest method of transfer exists if the securities are in a brokerage account. Securities may be transferred by instructions from you, the donor to transfer the securities from your account to Ovarian Cancer Canada's account:

BMO Investor Line  
Transit #3973  
First Canadian Place  
100 King St. W., Floor B1  
Toronto, Ontario M5X 1H3

FAX # (416) 359-5607  
Account #: 22079962-12  
Account Name: Ovarian Cancer Canada  
CUID # NTD  
DTC # 5043

As this is a discount brokerage-type account, we do not have an account manager, so it is very important for you to simultaneously send us a copy so we can establish when the securities are deposited into our account that you are the donor.

2. A donor who holds securities certificates may present an endorsed certificate with the signature guaranteed by the bank or your broker, or an unendorsed certificate and a signed stock or bond power of attorney with the signature guaranteed. Certificates may be delivered by courier to Ovarian Cancer Canada in advance of the closing if due precaution is observed, that is, the unendorsed certificates and the stock or bond power of attorney are delivered separately.
3. Re-registering the securities certificates in the name of Ovarian Cancer Canada is not recommended, as re-registration can take more than two weeks in which time the value of the securities can change.
4. To transfer mutual fund shares, the donor should send a letter of instruction to the fund company (i.e. AGF or McKenzie Financial, etc.). The donor must sign documents provided by the fund company. A letter of instruction states: "I request that "ABC Fund" immediately transfer (number) \_\_\_\_\_ shares from my account # \_\_\_\_\_ into the name of Ovarian Cancer Canada,

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Account Name: Ovarian Cancer Canada  
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Do not sell or redeem this donation from my account. After Ovarian Cancer Canada receives confirmation that the shares are in its account, it may authorize the sale of the shares."

**CAUTION:** When transferring listed securities ALWAYS ensure that the securities are transferred directly to Ovarian Cancer Canada then sold by Ovarian Cancer Canada. The securities must be transferred to Ovarian Cancer Canada and not be sold by you, the donor. The gift will not qualify for the capital gains tax elimination if the securities are sold and the cash then gifted to a charity.

**Please Seek Expert Advice:**

If you are thinking about transferring assets that have appreciated in value, you should seek expert advice from a tax specialist or your broker. Ovarian Cancer Canada strongly recommends that you seek professional advice to ensure your financial goals are considered, your tax situation reviewed, and that your planned gift is tailored to your circumstances.

For further information, please call:  
Elisabeth Baugh  
Chief Executive Officer  
at 1-877-413-7970 ext. 222 or  
email at [ebaugh@ovariancanada.org](mailto:ebaugh@ovariancanada.org)